



1 INT-013

X-RAY CATHETER

This application claims the benefit of U.S. Provisional Application Nos. 60/006,708 filed November 14, 1995, and

5 60/002,722 filed August 24, 1995.

FIELD OF THE INVENTION

The present invention relates generally to catheters and, more particularly, to catheters for irradiating vessels, lumens or cavities of a body, such as cardiovascular tissue to
10 reduce the incidence of restenosis, and to treat other conditions.

BACKGROUND OF THE INVENTION

Restenosis of an artery or vein after percutaneous transluminal coronary angioplasty (PTCA) or percutaneous
15 transluminal angioplasty (PTA) occurs in about one-third of the procedures, requiring the procedure to be repeated. Various types of drugs or other agents are being investigated for use in preventing restenosis. Heparin, an anticoagulant and inhibitor of arterial smooth muscle proliferation, is one such drug.
20 Dexamethasone may also prevent smooth muscle proliferation. Integrilin, which prevents aggregation of platelets, may also be useful. Other anticoagulants and antiproliferative agents are being investigated for efficacy, as well. Such drugs can be delivered before or after the angioplasty procedure. The
25 delivery of lytic agents such as urokinase, streptokinase and recombinant tissue type plasminogen activator (rTPA) to dissolve thrombi in arteries and veins is also being investigated.

1 Because of blood flow through the artery, drugs
delivered to the site of an angioplasty procedure, for example,
can be rapidly dissipated and removed from the site before they
can be sufficiently absorbed to be effective. Catheters have
5 therefore been developed to directly drive the drug into the
desired site through a balloon or to maintain the delivered drug
agent proximate the desired site by isolating the region with
occlusion balloons. See, for example, U.S. Patent Nos.
5,087,244, 4,824,436, and 4,636,195, to Wolinsky.

10 The use of sufficient pressures to drive the drug into
the tissue or plaque, however, may damage the arterial wall.
Passive delivery into a region isolated by occlusions balloons,
on the other hand, is slow and may not enable sufficient
absorption of the medication.. Passive delivery can be
15 particularly inappropriate for drug delivery in an artery because
blood flow can only be occluded in an artery for a limited period
of time.

 Stents have also been used after angioplasty to prevent
an opened blood vessel from closing. The use of stents, however,
20 has only shown a small decrease in the incidence of restenosis.
Stents are also difficult to properly position and are expensive.

 The use of radiation has also been investigated to
reduce restenosis after PTCA or PTA. One technique is
Photodynamic Therapy (PDT), wherein photosensitive drugs
25 delivered to the angioplasty site are activated by irradiation
with ultraviolet (UV) or visible light.

1 Another approach was to expose vascular tissue to UV
light within a wavelength band of DNA absorption (240-280 nm) by
a laser to disable or destroy the DNA of the tissue. This would
impair or destroy the ability of the vascular tissue to
5 proliferate. This approach had only limited success, however,
because UV light does not penetrate vascular tissue sufficiently
to prevent proliferation or migration of smooth muscle tissue.

Beta-irradiation of the vessel after angioplasty with
radioactive guide wires or implanted stents is another technique.
10 U.S. Patent No. 5,199,939 to Dake et al., for example, discloses
a catheter with radioactive pellets at its distal end to
irradiate the site of an angioplasty procedure to prevent
restenosis. The need for a radioactive source in the catheter
lab, however, requires protection against radioactive hazards to
15 personnel and costly compliance with regulations. It is also
difficult to control the depth of penetration of the radiation by
this method.

U.S. Patent No. 4,143,275 to Mallozzi et al., discloses
an x-ray device for delivering radiation to remote locations of
20 the human body such as the interior of the heart. The x-ray
radiation is generated by irradiating a target material, such as
iron, calcium, chromium, nickel, aluminum, lead, tungsten or
gold, by a laser to vaporize the metal. X-ray radiation is
emitted from the ionized vapor plasma. The target is located
25 outside the body and the x-rays are directed to a desired
location within the body through a hollow guide. The patent
discusses use of such a device to produce radiographs, to

1 irradiate tumors or to alter tissue. It is believed, however,
that x-ray radiation generated by this method would have photon
energy of about 1-2 KeV at best, which is too low to penetrate
biological tissue deeper than about 20-30 microns. In addition,
5 the patent does not disclose how to produce a guide which is both
flexible enough to be advanced through the cardiovascular system
and able to transmit adequate x-ray radiation to an intended site
without excessive losses.

U.S. Patent No. 5,153,900 to Nomikos, et al., discloses
10 a miniaturized low power x-ray source for interstitial insertion
for the treatment of tumors. The device comprises a housing with
an elongated cylindrical, rigid probe. An anode and cathode are
located in the housing and a target is located at the distal end
of the probe. The cathode and target must lie along the same
15 axis. Electrons emitted by the cathode, which can be a
thermionic emitter or a photocathode, impinge on the target,
causing the emission of x-ray radiation. A rigid probe is
unsuitable for use in the cardiovascular system.

U.S. Patent No. 5,428,658 to Oettinger, et al., a
20 continuation of the patent to Nomikos, discussed above, discloses
a flexible probe comprising a flexible optical fiber within a
metallic tube. The optical fiber has a photoemissive coating at
its terminal end. A target is located distal to the terminal end
of the optical fiber, within an evacuated shell. The flexible
25 probe is said to enable threading down a pathway, such as the
trachea, or around structures, such as nerves or blood vessels.
Such a device is not sufficiently flexible for advancement

1 through the cardiovascular system, nor is it believed that such a device can be made small enough to access the site of a PTCA procedure.

U.S. Patent No. Re 34,421 to Parker, et al. discloses
5 an x-ray microtube comprising a glass tube having a diameter less than one inch, for insertion into the body for treating a tumor. While asserting that the diameter can be as small as 1/8 inch, Parker does not address any of the problems associated with such a small device, such as electrical flashover. It is questionable
10 whether such a device could be made small enough to access the site of a PTCA procedure, and still function. Glass also has too high a coefficient of absorption of x-ray radiation to enable delivery of sufficient x-ray radiation to prevent restenosis in a reasonable period of time. Parker also does not disclose any way
15 to advance its x-ray source through the cardiovascular system, or any other channel of the body.

SUMMARY OF THE INVENTION

In accordance with a preferred embodiment of the present invention, an x-ray catheter is disclosed which is small
20 and flexible enough to access an intended site within a vascular system of the body, such as the coronary arteries of the cardiovascular system. The x-ray catheter can operate at the high voltages required for generating x-ray radiation of an effective spectrum for preventing restenosis and treating other
25 conditions. It also has walls highly transmissive to x-ray radiation so that an effective dosage can be delivered in a short period of time.

1 In accordance with the present invention, a catheter
for emitting x-ray radiation is disclosed comprising a flexible
catheter shaft having a distal end and an x-ray unit coupled to
the distal end. The x-ray unit comprises an anode, a cathode and
5 an insulator, wherein the anode and cathode are coupled to the
insulator to define a vacuum chamber. The insulator is
preferably pyrolytic boron nitride, which is highly transmissive
to x-ray radiation. The cathode is preferably a field emission
cathode of graphite, graphite coated with titanium carbide, or
10 other carbides. The cathode can also comprise silicon and the x-
ray unit can include a grid. The cathode can be a ferroelectric
material, as well. The anode is preferably tungsten. The
catheter shaft is preferably a coaxial cable. A guide wire may
be provided extending through the catheter shaft, partially
15 through the catheter shaft or partially through the x-ray unit,
in a rapid exchange configuration. The catheter further
preferably comprises a means for centering the x-ray unit within
a lumen.

In accordance with another embodiment of the invention,
20 an x-ray catheter is disclosed comprising a flexible catheter
shaft for being advanced through lumens of a vascular system.

Another embodiment of the present invention comprises
an x-ray generating unit having a diameter less than about 4 mm.

Yet another embodiment of the present invention
25 comprises a catheter shaft, an x-ray generating unit and means
for centering the x-ray generating unit within the lumen.

1 A method is also disclosed in accordance with the
present invention for preventing restenosis of a lumen or
treating other conditions, comprising advancing an x-ray catheter
through a lumen to a first location adjacent an intended site of
5 the lumen, wherein the x-ray catheter comprises a flexible
catheter shaft with a distal end and an x-ray generating unit
coupled to the distal end. The x-ray generating unit comprises
an anode, a cathode and an insulator, wherein the anode and
cathode are coupled to the insulator to define a vacuum chamber.
10 The method further comprises causing the emission of an effective
dose of x-ray radiation and removing the catheter. The catheter
can be inserted after conducting an angioplasty procedure. The
catheter can be advanced over a guide wire and through a guide
catheter, or through an exchange tube.

15 DESCRIPTION OF THE FIGURES

Fig. 1A is a cross-sectional view of an x-ray catheter
in accordance with a first embodiment of the present invention;

Fig. 1B is a cross-sectional view of a preferred
catheter shaft for use in the present invention;

20 Fig. 2A is a graph of an exemplary voltage applied
between the anode and grid electrode versus time;

Fig. 2B is a graph of an exemplary voltage applied
between the grid electrode and rear electrode of the cathode
versus time;

25 Fig. 2C is a graph of the current flow from the cathode
to the anode versus time, for the voltages of Figs. 2A and 2B;

1 Fig. 2D is a graph of the power of the emitted x-ray
radiation for the voltages of Figs. 2A and 2B;

 Fig. 3A is an alternative cathode in accordance with a
second embodiment of the invention;

5 Fig. 3B is an enlarged cross-section of one needle of
Fig. 3A;

 Fig. 4 is a graph of photon energy versus the Linear
Attenuation Coefficient, μ ;

 Fig. 5 is a cross-sectional view of the distal portion
10 of a third embodiment of the present invention;

 Fig. 6 is a cross-sectional view of mandrel for use in
chemical vapor deposition of the insulator of the embodiment of
Fig. 5;

 Fig. 7 is a cross-sectional view of the distal portion
15 of a fourth embodiment of the present invention;

 Fig. 8 is a cross-sectional view of the distal portion
of a fifth embodiment of the present invention;

 Figs. 9-11 are side views of the distal portions of the
catheter of the present invention, including several centering
20 devices for centering the x-ray unit within a lumen;

 Fig. 14 is a cross-sectional view of a distal portion
of a catheter in accordance with the present invention, in a
rapid exchange configuration wherein the guide wire passes
through the distal tip of the x-ray unit; and

25 Fig. 15 is a partial cross-sectional view of another
catheter in accordance with the present invention in a rapid

1 exchange configuration wherein the guide wire enters and exits
the catheter shaft proximal to the x-ray unit.

DESCRIPTION OF THE INVENTION

Fig. 1A is a cross-sectional view of an x-ray catheter
5 10 in accordance with a first embodiment of the present
invention. The x-ray catheter 10 comprises a flexible catheter
shaft 12 adapted for insertion into blood vessels or other body
vessels. The shaft 12 can be polyethylene, polyurethane,
polyether block amide, nylon 12, polyamide, polyamide copolymer,
10 polypropylene, polyester copolymer, polyvinyl difluoride or
silicon rubber, for example.

A miniature x-ray unit 14 is secured at the distal end
of the catheter shaft 12 by an adhesive, for example. The x-ray
unit 14 comprises a vacuum chamber 16, a cathode 18, which emits
15 electrons, and an anode 20, which receives the emitted electrons.
The anode 20 abruptly decelerates the impinging electrons,
causing the emission of x-ray radiation by the Bremsstrahlung
effect, as is known in the art. About 0.1-0.2% of the kinetic
energy of the impinging electrons is emitted in the x-ray range
20 of about 0.5-5 Angstroms in the preferred embodiments of the
present invention.

In this embodiment, the anode 20 preferably has the
shape of an inverted cone. The walls of the anode 20 preferably
have an angle of about 16° with respect to the surface of the
25 cathode 18. The anode 20 is preferably a heavy metal, such as
gold or tungsten, for example.

1 The cathode 18 comprises a base 19 which in this
embodiment is preferably a ferroelectric material, as discussed
below. The base 19 can also be doped or undoped silicon, or
other such materials, which is also discussed below.

5 A grid electrode 24 is coupled to the surface of the
base 19 facing the anode 20. A rear electrode 27 is coupled to
the rear of the base 19. Wires 26, 28 and 30 extend from the
rear electrode 27, anode 20 and the grid 24, respectively,
through the catheter shaft 12, to a high voltage generator 32.
10 The generator 32 preferably operates in the 0-30 kilovolt (Kv)
range. The wires 26, 28 and 30 can be soldered in place.
Separate lumens 34, 36, 38 can be provided through the catheter
shaft 12 for each wire or a single lumen can be provided for a
coaxial cable comprising the three wires. A coaxial cable can
15 form the catheter shaft as well, as in the embodiments of Figs. 5
and 7.

 The vacuum chamber 16 preferably comprises a wall 22 of
beryllium, beryllium oxide, aluminum, aluminum oxide, pyrolytic
boron nitride, graphite or other such metal or ceramic materials,
20 which is transparent to x-rays. If a metal, such as beryllium or
aluminum is used as the wall 22 of the vacuum chamber 16, an
insulative layer (not shown) would be provided to electrically
insulate the anode 20 and cathode 18, as is known in the art.
Aluminum oxide, pyrolytic boron nitride and other ceramics are
25 insulators. A transparent biocompatible coating 25 of a
polymeric material such as polyethylene, polyurethane or Teflon
(R), for example, is also provided over the wall 22. A vacuum

1 tie off (not shown) depends from the vacuum chamber 16, which is
sealed after the desired vacuum within the chamber is achieved.
A soft, resilient material 48 may be provided at the distal tip
of the x-ray unit 14, as is known in the art. The material can
5 be ultra low density polyethylene or nylon, for example.

A lumen 40 extending longitudinally through the
catheter shaft 12 can also be provided to accommodate a guide
wire 42. A port 44 can be provided through the shaft 12 for the
guide wire 42 to exit the shaft 12. A tube 48 can be attached by
10 adhesive or thermal bonding to the shaft 12 at the port 44 to
provide a guide for the guide wire 42 around the x-ray unit 14.
The tube 48 may be adhered to the wall of the x-ray unit 14, as
well. The tube 48 may extend through the soft material 46 at the
distal tip of the x-ray unit 14.

15 The lumens in Fig. 1 are shown in the same plane for
illustrative purposes. If multiple lumens are provided, they
would preferably be arranged symmetrically within the catheter,
as shown in Fig. 1B.

In this embodiment, the base 19 of the cathode 18 is
20 preferably a ferroelectric material, as described in Riege, H.,
"Electron emission from ferroelectrics - a review," Nuclear
Instruments and Methods in Physics Research A340 (1994), pp. 80-
89; Gundel, H., et al., "Fast Polarization Changes in
Ferroelectrics and Their Application," Nuclear Instruments and
25 Methods in Physics Research A280 (1989), pp. 1-6; Gundel, H., et
al., "Time-dependent electron emission from ferroelectrics by
external pulsed electric fields," J. Appl. Phys. 69(2) 15 January

1 1991, pp. 975-982; and Asano, Jun-ichi, et al., "Field-Excited
Electron Emission from Ferroelectric Ceramic in Vacuum," Jpn. J.
Appl. Phys. Vol. 31 (1992), pp. 3098-3101, Part 1, No. 9B, which
are all incorporated by reference herein. As described in those
5 articles, ferroelectric materials, such as lead-zirconium-
titanate (PZT) and lead-lanthanum-zirconium-titanate (PLZT) and
triglycinesulfate (TGS), for example, emit electrons from their
surfaces when the spontaneous ferroelectric polarization of these
materials is rapidly reversed. High voltage, submicrosecond
10 pulses can cause such reversals, as can mechanical pressure
pulses, thermal heating or laser illumination. The use of a
laser to cause polarization reversal is discussed in Geissler,
K., et al., "Intense laser-induced self-emission of electrons
from ferroelectrics," Physics Letters A 176 (1993), pp. 387-392,
15 North Holland, which is also incorporated by reference herein.
Ferroelectric cathodes do not require as high vacuum as other
types of cathodes. A vacuum of about 10^{-3} - 10^{-4} Torr is
sufficient. Ferroelectric cathodes are also simple to
manufacture and are reliable.

20 Preferably, the polarization switching is caused by
applying an electrical pulse across the ferroelectric material.
Preferably, voltage pulses are applied between the rear electrode
27 and the grid electrode 24. Positive or negative pulses, or a
combination of positive and negative pulses, can be used,
25 depending on the configuration and original orientation of the
polarization of the ferroelectric material. The reversal of
ferroelectric polarization can be achieved by applying a voltage

1 pulse of between about 1-3 Kv to the ferroelectric cathode 18 via
the rear electrode 27 and the grid electrode 24. The pulses are
preferably applied for 5-100 nanoseconds. The polarization of
the ferroelectric material 19 can be switched at a rate of
5 between about 1 kHz-5 MHz. Electrical current densities as high
as 100 Amps per square centimeter can be generated. With a
polarization switching rate of about 100 kHz, for example, and a
diameter of ferroelectric material 19 of about 1 mm, an average
anode current of about 10 milliamperes can be generated.

10 Preferably, a constant voltage or voltage pulses are
applied between the anode and the cathode, as well, to control
the energy of the emitted x-ray radiation, and hence the depth of
penetration of the radiation into tissue. A voltage of about 10-
30 Kv is preferred in coronary applications, as discussed
15 further, below.

In this embodiment, the grid electrode 24 is preferably
silver, aluminum or gold. About one-half of its area is
transparent or open to electrons. The grid 24 can be deposited
on a layer of ferroelectric material, such as PZT, PLZT or TGS,
20 as is known in the art. The dimensions of the cathode 18 depend
on the application. For use in coronary arteries, for example,
the ferroelectric material 19 can have a diameter of about 1-2
mm. For use in larger blood vessels, such as the femoral artery,
the diameter of the ferroelectric material 19 could be up to 3
25 mm. The thickness of the ferroelectric material 19 can be
between about 50-1,000 microns. About 200-500 microns is
preferred. The grid 24 is preferably about 0.5-10 microns thick,

1 with about the same diameter as the ferroelectric material 19.
The electrode 27 is about 1 micron thick. The distance between
the anode 20 and cathode can be about 0.2-5 mm.

Experimental data suggests that restenosis after PTCA
5 can be limited by irradiation by about 2000 centigrays (cGy).
(See, for example, Tim A. Fischel et al., "Low-Dose, beta-
particle emission from "stent" wire results in complete,
localized inhibition of smooth muscle cell proliferation,"
Circulation, Vol. 90, No. 6, December 1994, and Wiedermann,
10 Joseph G., et al., "Intracoronary Irradiation Markedly Reduces
Neointimal Proliferation After Balloon Angioplasts in Swine:
Persistent Benefit at 6-Month Follow-Up," JACC Vol. 25, No. 6,
May 1995, 1451-6, which are incorporated by reference, herein).

It is believed that the x-ray unit in accordance with
15 this and the other embodiments of the present invention disclosed
herein can emit over 2000 centigrays of x-ray radiation in about
one minute, to a cylindrical region of a lumen with a length of
about 5 mm. Treatment of a typical lesion in a coronary artery,
which can be 1-2 centimeters long, can require repositioning of
20 x-ray unit several times to irradiate the entire lesion. A
lesion 1-2 centimeters long can therefore be irradiated in about
2-5 minutes. The x-ray catheter of the present invention can
deliver sufficient x-ray radiation to a lesion in a short period
of time which minimizes the inconvenience and discomfort of the
25 patient and cost of the procedure.

1 In operation, the high voltage generator 32 preferably
applies voltage pulses between the anode 20 and grid 24, and
between the rear electrode 27 and grid 24. In Fig. 2A, exemplary
voltage pulses applied between the anode 20 and grid 24, V_{AG} , are
5 plotted versus time. The voltage pulses in this example are
about 10-12 Kv. The voltage pulses between the anode 20 and grid
24 can be applied for about 0.1-1.0 microseconds, every 10
microseconds. Fig. 2B plots exemplary voltage pulses V_{GR} ,
applied between the grid electrode 24 and the rear electrode 27
10 versus time. The voltage difference here is about 2.0 Kv. Fig.
2B also shows a negative pulse 49 which is preferably applied to
restore the negative charge on the surface of the ferroelectric
material 19 adjacent the grid 24. Fig. 2C illustrates
qualitatively the current I_A flowing from the ferroelectric
15 material 19 to the anode 20 for the voltage pulses shown in Figs.
2A and 2B. The length of each current pulse generated for the
range of voltage pulses of 0.1-1 microsecond, is about 10-100
nanoseconds. The current pulses cause the emission of pulses of
x-ray radiation with peak power in this example of up to about 30
20 watts, as shown in Fig. 2D.

In a second embodiment of the invention, shown in Fig.
3A, the cathode 18 may also be a field emission cathode 50
comprising multiple needles 52 and optionally a grid electrode
54. Fig. 3B is an enlarged cross-sectional view of a single
25 needle 52, of Fig. 3A. The base 55 and needles 52 can be doped
or undoped silicon. The grid 54 can be niobium. If a grid 54 is
provided, a layer 57 of an insulator, such as silicon dioxide

1 (SiO₂), is preferably deposited over the base 55 of silicon. The
grid 54 of niobium is deposited over the silicon dioxide layer
57. A rear electrode 59 is coupled to the rear of the base 55.
A wire 58 is coupled to the rear electrode 59. A wire 56 is
5 coupled to the grid 54. Returning to Fig. 3A, a vacuum tie-off
60 is shown, as well. The anode 20 can be the same as described
above.

The radius of the tips of the needles 52 is between
about 5-100 Angstroms. The height of the needles is about 0.5-
10 1.0 microns. The grid 54, which is about 0.5 microns thick, is
preferably positioned slightly above the top of the needle 52, as
shown in Fig. 3B. The openings in the grid 54 have a diameter of
about 2 microns. The layer of silicon dioxide is about 1-2
microns thick. A vacuum of between about 10⁻⁷-10⁻⁸ Torr is
15 preferred for a field emitting cathode including silicon.

The needles 52 emit electrons when negative potential
is applied between the rear electrode 59 and the grid electrode
54. A triggering voltage of about 100-500 volts may be used, for
example. The voltage can be constant or pulsed. If no grid
20 electrode is provided, the high voltage can be provided directly
between the anode and the needles 52.

The radiation emitted by the anode 18 passes through
the vacuum chamber wall 22 and coating 25, into surrounding
tissue. Irradiation reduces the ability of smooth muscle cell to
25 proliferate, inhibiting restenosis, as discussed above. Fig. 4
is a graph of Photon Energy (kev) versus the Linear Attenuation
Coefficient μ (cm⁻¹) for bone 62, muscle 64 and lung tissue 66.

1 (See, Anthony Brinton Wolbarst, Physics of Radiology, Appleton
and Lange, 1993, p. 108; Johns, H.E., Cunningham, JR.: The
Physics of Radiology, 4th ed., Springfield, IL; Charles C.
Thomas, 1983, Appendix A.) The greater the coefficient μ , the
5 more effectively the medium absorbs and scatters photons. The
depth of penetration of radiation is the depth at which the
intensity of the impinging radiation drops to $1/e$ of its original
value. The depth of penetration of x-ray radiation of a
particular energy is equal to $1/\mu$. Generally, the coefficient μ
10 increases with increasing effective atomic number of the
material. While muscle and lung tissue have nearly identical
chemical composition, the attenuation in muscle tissue is about 3
times greater than the attenuation in lung tissue, because muscle
tissue is about 3 times denser than lung tissue. The energy of
15 x-ray radiation is preferably adjusted so that it penetrates
slightly into the adventitia tissue of the blood vessel about 2
mm deep. Penetration into the cardiac muscle tissue beyond the
coronary artery, for example, should be minimized. The energy
can be adjusted by varying the voltage applied between the anode
20 and cathode. The preferred voltage range of 10-30 Kv yields x-
ray radiation with a peak energy of about 8-10 KeV, which is
appropriate in coronary applications.

Fig. 5 is a cross-sectional view of the distal portion
of an x-ray catheter 100 in accordance with a third embodiment of
25 the present invention. The x-ray catheter 100 comprises an x-ray
unit 102 coupled to a high voltage coaxial cable 104. The x-ray
unit 102 has a vacuum chamber 106, defined by an insulator 108, a

1 cathode 110 and an anode 112. The insulator 108 comprises a base
portion 114 coupled to a tubular, preferably cylindrical wall
portion 116 with an open end 118. The cathode 110, which is a
cold, field emission cathode, is coupled to the open end 108.
5 The insulator 108 is preferably alumina, beryllium oxide or more
preferably, pyrolytic boron nitride. The boron nitride must be
pyrolytic, as opposed to sintered, because only the pyrolytic
boron nitride is vacuum tight at the wall thicknesses required.
The cathode 110 is preferably graphite. The anode 112 is
10 preferably tungsten or tungsten coated with a layer of platinum.
A one micron layer of platinum is sufficient. The vacuum is
preferably 10^{-5} Torr or better.

The cathode 110 is preferably graphite, carbides, such
as titanium carbide, silicone, metals, or graphite coated with
15 titanium carbide. The cathode 110 preferably includes one or a
plurality of protrusions 110a with a sharp tip extending towards
the anode 112 along a central axis of the x-ray unit 102. The
protrusion 110a locally enhances the electrical field and
improves the emission of electrons, as is known in the art. The
20 protrusion 110a can comprise the same material as the cathode
110, or can be another of the cathode materials mentioned above.

The anode 112, which is preferably in the shape of a
rod, extends along the central axis of the x-ray unit 102. The
rod 112 has a depending portion 112a received within a
25 cylindrical groove 114a extending through the base portion 114.

1 Preferably, the base 114 has a portion 114b, which tapers toward
the anode 112. An angle of about 45° can be used, for example.
The anode 112 also can have a portion 112b tapered toward the
cylindrical portion 114b of the base. Such a configuration
5 displaces the electrical field from the anode-vacuum-insulator
triple junction, decreasing the risk of electrical flashover
during operation. The anode 112 is preferably a heavy metal.
Tungsten is preferred.

The cathode 110 and anode 114 are coupled to the high
10 voltage generator 32 of Fig. 1, described above, through the high
voltage coaxial cable 104. The coaxial cable 104 comprises a
central conductor 120, which is coupled to a proximal end of the
anode 114, and an external conductor 122, which is coupled to the
cathode 110. A conductive coating 124 is provided over the
15 external surface of a portion of the cathode 110 and the external
surface of the insulator 108 to couple the cathode 110 to the
external conductor 122. A silver coating with a thickness of
about 0.1-1.0 microns may be used. Gold may be used as well.
Insulation 126, such as Teflon (R), silicone, rubber, fluorinated
20 ethylene propylene (FEP) or polyethylene, for example, is
typically provided between the external conductor 122 and the
central conductor 120. The x-ray unit 102 can be attached to the
coaxial cable 114 with an adhesive, for example.

The cathode's "triple junction point" (the junction
25 between the cathode, the insulator and the vacuum), which in this
embodiment is an annular region surrounding the cathode 110
proximate the open end 118 of the insulator 108, is screened from

1 the high electrical field between the anode 112 and the cathode
110 by the conductive coating 124 and the side of the cathode
110. This decreases the incidence of electrical flashover,
enabling the use of higher voltages.

5 The cathode 110 can be coupled to the open end 118 of
the insulator 108 through a metal ring 130. The metal ring can
comprise tungsten, platinum, or graphite covered by platinum.
Coupling of the cathode 110 to the metal ring and coupling of the
anode 112 to the insulator 108 is described further, below.

10 A biocompatible layer 128 is provided over the external
conductor 116, conductive layer 124, and the cathode 110. A
thickness of less than about 0.002 inches is preferred.
Preferably, the biocompatible coating 128 also acts as an
insulating layer. The biocompatible coating may be silicone or
15 FEP, for example. A lubricious layer (not shown) of a hyaluronic
coating, for example, may be provided as well. The biocompatible
coating may have sufficient lubricity without a further coating.
Silicone, for example, is a highly lubricious biocompatible
coating.

20 The coaxial cable 104 is chosen to have sufficient
flexibility to be advanced through the cardiovascular or other
such system, to an intended site. It has been found that
standard high voltage coaxial cables are generally not flexible
enough to be advanced through the cardiovascular system to the
25 coronary arteries. It has further been found, however, that
miniature high frequency coaxial cables are available with
sufficiently small diameter (about 1.0-3.0 mm outer diameter) and

1 sufficient flexibility to be advanced to the coronary arteries.
Usually, such cables are used in high frequency applications at
voltages less than several kilovolts. Surprisingly, it has been
found in connection with the present invention that these cables
5 can hold direct current voltages as high as 75-100 Kv without
breakdown, and consequently can be used with the x-ray unit of
the present invention for operational voltages of up to 30-40 Kv.
Such voltages are sufficient to generate x-ray radiation in
appropriate energy ranges for the treatment of restenosis and
10 other conditions. Suitable coaxial cables include CW2040-3050FR;
CW2040-30; CW2040-3675-SR; and CW2040-3275SR, distributed by
Cooner Wire, Inc. Chatsworth, CA, for example. Cooner
distributes coaxial cables for New England Electric Wire
Corporation, Lisborn, New Hampshire.

15 An x-ray unit 102 in accordance with this embodiment of
the invention can have a length less than about 15 mm and a
diameter less than about 4.0 mm, depending on the application.
The distance between the cathode 108 and the anode 110 can be
between about 2.0-0.2 mm, depending on the size of the x-ray unit
20 102. The thickness of the cylindrical insulator wall 116 can be
between about 0.2-0.5 mm. The diameter of the coaxial cable 104
can be about the same as the diameter of the x-ray unit 102. For
use in preventing restenosis after dilatation of a coronary
artery, which typically has a diameter of about 3 mm, the x-ray
25 unit 102 preferably has a length of about 7 mm and a diameter of
about 1.5 mm. In peripheral blood vessels, which are larger, the
x-ray unit 102 preferably has a diameter of about 3.5 mm and a

1 length of between about 7-15 mm. Larger x-ray units with greater diameters and lengths than those discussed above could also be made and used in accordance with the present invention.

To operate the x-ray unit 101 to prevent restenosis in
5 a vessel of the cardiovascular system, for example, direct current having a voltage of between about 10-30 Kv, can be applied to the central conductor 120. The external conductor is connected to ground. Electrons emitted from the cathode 110 due to a field emission effect impact the anode 112, causing the
10 emission of x-ray radiation of about 8-10 KeV, as discussed above. The radiation is primarily emitted radially, to the vessel wall. About 10-30 Kv is preferred for use in the prevention of restenosis. Higher voltages will cause the emission of x-ray radiation of higher energy which can penetrate too deeply into
15 the vessel wall, damaging cardiac tissue. Higher voltages may be used for other applications.

Voltages at the higher end of the 10-30 Kv range are preferred because the use of higher voltages enables the generation of the same amount of radiation with less current than
20 the use of a lower voltages, and is therefore more efficient. Higher voltages also enable the generation of x-ray radiation of higher power. Higher power, however, can cause the generation of more heat, which can damage the tissue of a vessel wall. In this embodiment, most of the heat is generated at the anode 110
25 positioned at the center of the x-ray unit, as far from the vessel wall as possible.

1 Higher voltage also increases the risk of electrical
flashover at the anode and cathode triple junctions. As
discussed above, the anode 112 and cathode 110 are preferably
configured to minimize the risk of flashover.

5 Bulk electrical breakdown is also a risk with increased
voltages. Pyrolytic boron nitride has a high dielectric
strength, enabling the x-ray unit of the catheter to tolerate the
voltages used in this application without bulk electrical
breakdown. The dielectric strength of pyrolytic boron nitride is
10 200-600 KV/mm.

Pyrolytic boron nitride is also particularly preferred
as the insulator 108 because it is highly transparent to soft x-
rays and can therefore be efficiently used as an x-ray window.
The coefficient of linear absorption of boron nitride at about 8
15 KeV, the average energy of the emitted radiation, is 1.0 mm^{-1} .
About 8-10 KeV is the preferred energy level of x-ray radiation
in the treatment of restenosis, as discussed above. Transmission
of radiation through pyrolytic boron nitride with a thickness of
about 0.3 mm is about 70%. This enables irradiation of tissue at
20 a rate of at least about 1 gray per minute. Preferably, about
10-30 grays per minute of radiation at about 8-10 KeV are
provided, enabling delivery of an effective amount of radiation
to prevent restenosis to a lesion about 5 mm long in about 1
minute. It is believed that x-ray radiation can be delivered at
25 a rate of over 50 grays per minute with the x-ray unit of this
embodiment. A lesion 1-2 cm long can be treated in about 2-5

1 minutes by progressively repositioning the x-ray unit to
irradiate additional portions of the lesion.

Positive electrical pulses with a peak voltage of
between about 15-30 Kv and 2-100 nanoseconds long can also be
5 applied to the central conductor 120 of the coaxial cable 104 at
a rate of between about 1-50 KHz. The high voltage pulses cause
field emission. The pulses can further cause a vacuum electrical
breakdown, causing electrons to flow from the cathode 110 to the
anode 112 through a plasma of vaporized cathode and anode
10 material between the cathode 110 and the anode 112.

The anode 114 is preferably attached to the insulator
108 of pyrolytic boron nitride during formation of the insulator
108 by chemical vapor deposition (CVD). During CVD, the
deposited boron nitride chemically bonds to the anode material,
15 forming a strong, vacuum tight seal. The seal formed by CVD has
higher voltage hold-off because it does not have voids which can
locally enhance the electrical field and cause electrical
flashover.

A mandrel 250 for use in manufacturing the x-ray unit
20 102 by CVD is shown in Fig. 6. The mandrel 250 is preferably
graphite. A cavity 252 is provided in the mandrel 250 for
receiving the anode 114. The anode 114 is secured in an anode
holder 254 of boron nitride, for example. The mandrel 250
includes a shoulder 254 for supporting the metal ring 130. The
25 metal ring 210 is held in place by a cylindrical ring holder 256,
also of boron nitride, for example, which is supported by a
mandrel holder 258 of graphite, for example.

1 The assembly of Fig. 6 is placed in a CVD reactor for
the deposition of boron nitride by CVD, as is known in the art.
Chemical vapor deposition of boron nitride is described, for
example, in Matsuda, et al., "Synthesis and Structure of
5 Chemically Vapour-Deposited Boron Nitride," Journal of Materials
Science 21 (1986) pp. 649-658; and Pouch, John J., et al.
"Synthesis Properties of Boron Nitride," Materials Science Forum,
Volumes 54 and 55 (1990) pp. 141-152, for example, which are
incorporated by reference, herein. The boron nitride is
10 deposited on the hot surface of the assembly, crystallizing into
a hexagonal structure. CVD of pyrolytic boron nitride can be
performed by CVD Products Incorporated, of Hudson, New Hampshire,
for example.

 It may be advantageous to deposit and impregnate boron
15 onto the surface of the graphite mandrel 250 and tungsten anode
114 prior to depositing the boron nitride. To increase the
chemical stability of the anode 114 during the deposition
procedure, the tungsten could be coated with a layer of platinum
about 1 micron thick.

20 After completion of the CVD process, the mandrel 250 is
removed from the assembly by oxidation of the graphite, also as
known in the art.

 The cathode 110 is then vacuum brazed to the metal ring
130 with brazing materials, which are discussed below, sealing
25 the chamber. Vacuum brazing is also known in the art and can be
provided by Koral Labs., Minneapolis, St. Paul, for example. The

1 sealed chamber is then covered with the conductive coating 124 by
metal vapor deposition, for example.

Such a process can be used for mass production of large
numbers of assemblies.

5 A fourth embodiment of an x-ray unit 300 in accordance
with the present invention is shown in Fig. 7. The x-ray unit
300 comprises a vacuum chamber 302 defined by an insulator 304,
preferably of pyrolytic boron nitride, a cathode 306, and an
anode 308. The anode 308 is preferably tungsten.

10 The cathode 306 may be graphite, titanium carbide,
graphite coated with titanium carbide or stainless steel, for
example. Graphite coated with titanium carbide is preferred. A
coating of several microns may be used. Titanium coating can be
provided by Lanxide Coated Products, Inc., Newark, Delaware, for
15 example. The cathode 306 preferably includes an annular
protrusion 306c for creating a cavity for containing the brazing
material 316. The cathode 306 may also include a protrusion 306a
directed towards the anode 308, as in the embodiment of Fig. 5.

The insulator 304 comprises a cylindrical wall 304a
20 with an inclined depending wall 310 and a cylindrical wall 314
preferably parallel to the cylindrical wall 304a. The depending
wall 310 is preferably angled towards the interior of the vacuum
chamber 302. The cylindrical wall 314 defines a sleeve for
receiving a depending portion 318 of the anode 308. The anode
25 308 is coupled to the cylindrical wall 314 through a brazing
alloy 312. The cathode 306 is coupled to the open end 314 of the
insulator 304 through a brazing alloy 316, as well.

1 The depending portion 318 of the anode 308 preferably includes a slot 320 for receiving the central conductor 322 of a coaxial cable 324. The cathode 306 is coupled to the external conductor 326 of the coaxial cable 324 through a conductive layer 5 325, as in the embodiment of Fig. 5. A biocompatible coating is also provided over the coaxial cable 324, conductive layer 325 and cathode 306. A lubricious coating (not shown) may be provided, as well.

 Preformed pyrolytic boron nitride of the desired sizes 10 and shapes is available from CVD Products, Incorporated, for example.

 Appropriate brazing alloys for coupling pyrolytic boron nitride to the tungsten anode 308 include Incusil-15 ABA and Incusil-ABA, for example, available from GTE Products 15 Corporation, WESTGO Division, Belmont, C.A. ("WESTGO"). Incusil-15 ABA comprises 14.5% indium, 1.25% titanium, 23.5% copper and 60.75% silver. Incusil-ABA comprises 12.5% indium, 1.25% titanium, 27.5% copper and 59% silver. The brazing temperatures for both alloys is about 750°C. The brazing material can be in 20 the form of a cylindrical ring placed within the sleeve formed by the cylindrical wall 314 in Fig. 7. The brazing material spreads into the vertical region between the anode 308 and wall 314 during the brazing process. These alloys can also be used to braze the cathode 110 to the metal ring 130 in the embodiment of 25 Fig. 5.

 Appropriate brazing alloys for coupling a cathode 308 of graphite or graphite coated with titanium carbide to pyrolytic

1 boron nitride include Cusin-1 ABA and Cusil-ABA, also available
from WESTGO. Cusin-1 ABA comprises 34.25% copper, 1.75%
titanium, 1.0% tin and 63% silver. Cusil-ABA comprises 63%
silver, 35.25% copper and 1.75% titanium. The brazing
5 temperatures for both alloys is about 850°C. The brazing is also
conducted in a vacuum of about 10^{-5} Torr or better. Because it
requires a higher brazing temperature, the graphite cathode 306
is coupled to the pyrolytic boron nitride prior to the tungsten
anode 308. The brazing material can be in the form of a ring or
10 it can be sputtered onto the end of the pyrolytic boron nitride
prior to vacuum brazing.

Instead of a cathode of graphite, the cathode can be
PLZT or other such ferroelectric material, as discussed above.
As above, the use of ferroelectric material requires the use of
15 voltage pulses. In Fig. 8, a fifth embodiment of the present
invention is shown, comprising a ferroelectric cathode 130
supported by a conductive cap 132. The conductive cap 132 is
coupled to the outer conductor 116 of the coaxial cable 114 by a
conductive layer 118, as above. The remainder of the x-ray
20 catheter 150 is the same as the embodiment of Fig. 5. Graphite
is preferred as the conducting material because it has a low
absorption coefficient for x-ray, enabling transmission through
the distal end of the x-ray unit.

It is preferable to center the x-ray unit within the
25 vessel or lumen, to provide a uniform distribution of x-ray
radiation around the circumference of the vessel wall. Fig. 9 is
a side view of an x-ray catheter 400 in accordance with the

1 present invention, with a centering device comprising a plastic
sleeve 402 with a plurality of resilient polymeric solid arms 404
depending from it at an angle. The sleeve 402 can be coupled to
the outer, biocompatible layer of the coaxial cable 406 proximal
5 to the x-ray unit 408 by adhesive or thermal bonding, for
example. The distal ends of the arms 404 can optionally extend
beyond the distal end of the x-ray unit 408. The arms 404 bear
against the vessel wall 410, centering the x-ray unit 408 within
a vessel or lumen of the body.

10 A sheath 412 is preferably provided over the coaxial
cable 406 for compressing the arms 404 during advancement of the
x-ray unit 408 to the intended site. When the x-ray unit 408 is
properly positioned, the sheath 410 is retracted, releasing the
arms 404. Radiopaque bands 414 of gold or tantalum, for example,
15 are preferably provided on the coaxial cable 406 and the sheath
412 to assist in tracking of the x-ray catheter 400 on a
fluoroscope during a procedure. The bands 414 are preferably
positioned on the coaxial cable 406 and the sheath 412 such that
when the sheath 412 has been sufficiently retracted to release
20 the arms 404, the bands on the coaxial cable 406 and the sheath
412 are essentially aligned.

Fig. 10 is a partial, cross-sectional view of the x-ray
catheter 400 of Fig. 9, wherein the x-ray unit 408 is within the
sheath 412 and the arms 404 are compressed. Saline or some other
25 cooling agent can be delivered through the space 416 between the
sheath 412 and the coaxial cable 406, as well.

1 Alternatively, a compressible cage 418 can be provided
over the x-ray unit 408 as a centering device, as shown in Fig.
11. The cage 418 comprises a plurality of arms 420 with a first
end 420a coupled to a first sleeve portion 422 and a second end
5 420b coupled to a second sleeve portion 324. The x-ray catheter
unit 408 extends into and lies within the region defined by the
arms 418. The arms 408 can be compressed by the sheath 412, as
in Fig. 14. The second portion 424 can be coupled to the distal
end of the x-ray unit 308.

10 The material of the outer layer of the coaxial cable
406 and the material of the sheath 412 preferably comprise
materials which slide easily with respect to each other. The
outer layer of the coaxial cable 406 is preferably coated with a
lubricious material, such as silicone or a hyaluronic coating, as
15 well.

Releasable arms and cages, methods of their manufacture
and suitable materials are disclosed in U.S.S.N. 08/488,216,
filed on June 7, 1995 and assigned to the assignee of the present
inventor. U.S.S.N. 08/488,216 is incorporated by reference,
20 herein.

Another method of centering the x-ray unit is a malecot
device, as shown in Figs. 12-13. A sheath 450 of plastic
material is attached to the distal portion 454a of an x-ray unit
454, which is shown in Fig. 12. The coaxial cable 456 attached
25 to the proximal end of the x-ray unit, is also shown in phantom.
A plurality of lateral slots 457 are provided through portions of
the sheath surrounding the x-ray unit 454, Four equidistantly

1 positioned slots 457 may be provided around the circumference of
the sheath 450, two of which are shown in Fig. 12. The length of
the slots 457 depends on the diameter of the vessel at the
intended site and the diameter of the sheath 450, and should be
5 sufficient to enable the buckled portion of the sheath 450 to
bear against the circumference of the vessel wall. When the x-
ray unit 454 is adjacent the intended site, the sheath 450 is
advanced, causing a portion 458 of the sheath 450 between the
slots 457 to buckle outward, as shown in Fig. 13. The sheath 450
10 is advanced a sufficient distance for the portion 458 to buckle
sufficiently to bear against the vessel wall, centering the x-ray
unit 454. The distal tip 460 of the catheter may be of a soft,
resilient material such as ultra low density polyethylene or
nylon, for example, as is known in the art. Any of the
15 embodiments of the x-ray catheter can be provided with a soft
tip.

The x-ray unit could also be placed within an
expandable balloon.

The x-ray catheters of the embodiments of Figs. 5, 7
20 and 8 can be conveyed to the site of the dilatation procedure
through an exchange tube after the dilatation catheter is
removed. The exchange tube can be advanced to the intended site
over the same guide wire used in the dilatation procedure. After
the exchange tube is properly positioned, the x-ray catheters of
25 Figs. 5, 7 and 8 can be advanced through the exchange tube, to
the intended site.

1 The x-ray catheter of the present invention can also be
advanced over the same guide wire used by the dilatation catheter
after the dilatation catheter is removed, through a guide
catheter. Fig. 1 shows one such x-ray catheter 10. Fig. 14 is a
5 cross-sectional view of another x-ray catheter 500 for use with a
guide wire 502 in a rapid exchange configuration. The guide wire
502 enters the x-ray unit 504 through an opening 506 in the
cylindrical wall of the unit 404, extends through the center of
the unit 504 and a central passage 508 in a cathode 510, exiting
10 through an opening at the distal end of the unit 504.

 The cathode 510 of the x-ray unit 504 may be graphite,
for example. The anode can comprise a base 514 of tungsten, for
example, with a plurality of rod-like protrusions 516 arranged
concentrically about the base, within a vacuum cavity 518 defined
15 by an insulator 520 and a cathode 510. The protrusions 516
extend toward the cathode 510. The insulator 520 is preferably
of pyrolytic boron nitride. A tube 522 of insulative, vacuum
tight material, may be provided through the vacuum chamber 518,
providing a passage for the guide wire 502.

20 The base 514 of the anode has a depending portion 514a,
preferably coupled to the central electrode 417 of a coaxial
cable 518. A conductive layer is provided over the outer walls
of the insulator 520, to couple the cathode 510 to the outer
electrode of the coaxial cable 518, as described in the
25 embodiments, above.

 Fig. 15 is a side view of another embodiment of a rapid
exchange x-ray catheter 600 in accordance with the present

1 invention, wherein a portion of the catheter shaft 602 is shown
in cross-section. Here, a lumen 601 is provided in the catheter
shaft 602 with an entrance port 603 and an exit port 604 proximal
to the x-ray unit 605. A guide wire 606 enters the lumen 601
5 through a port 603 and exits through a port 604. The x-ray
catheter 600 can be tracked along the guide wire 606 to the
intended site in a lumen or vessel, through the lumen 601. The
distance between the entrance port 602 and the exit port 604 can
be about 10-20 cm, for example. Other lumens (not shown) can be
10 provided for a coaxial cable or wires to couple the x-ray unit
605 to the high voltage generator 32 shown in Fig. 1, for
example.

Such a catheter shaft 602 can be formed in a multi-
lumen extrusion process, as is known in the art, wherein the
15 lumens extend longitudinally through the catheter shaft 602. The
portions of the lumen distal and proximal to the intended
locations of the exit port 604 and entrance port 602 can be
closed, as is known in the art. The ports 603, 604 can then be
made through the catheter shaft by a laser, for example.

20 While the above embodiments are described with respect
to applying x-ray radiation to the site of an angioplasty
procedure, the present invention can be used to apply radiation
within the cardiovascular system for other purposes, or to other
vessels, lumens, or cavities in the body, wherever the
25 application of radiation would be useful.

The various embodiments set forth above are for the
purpose of illustration. It will be appreciated by those skilled

1 in the art that various changes and modifications may be made to
these embodiments without departing from the spirit and scope of
the invention as defined by the claims, below.

5

10

15

20

25